

華星證券有限公司 SINOSTAR SECURITIES LIMITED (下稱 "本公司" hereinafter "the Company")

本公司為獲准從事第 1 類(證券交易)受規管活動之持牌法團 (證監會中央編號: BDH 216)和香港聯合交易所有限公司的交易所參與者。

The Company is a licensed corporation to carry on Type 1 (Dealing in Securities) regulated activity (SFC CE No: BDH 216) and an Exchange Participant of the Stock Exchange of Hong Kong Limited.

服務收費表 Service Charges

證券交易服務 Trade-related Services	
經紀佣金 Brokerage Commission	成交金額之 0.25% (最低收費港幣 80 元) 0.25% on transaction amount (Min charge HK\$80)
政府印花稅 Stamp Duty	每港幣 1,000 元成交金額收費港幣 1 元 (不足港幣 1,000 元亦作 1,000 元計) HK\$1 for every HK\$1,000 of transaction amount (or part thereof) - paid by both buyer & seller 買賣雙方均需繳付
交易徵費 Transaction Levy	證監會交易徵費 成交金額之 0.0027% SFC transaction levy of transaction amount 0.0027%
交易費 Trading Fee	聯交所交易費 成交金額之 0.005% SEHK trading fee of transaction amount 0.005%
交收費 (包括市場及中央結算有關徵費) Settlement Fee / CCASS Fee	每宗成交金額之 0.004% (最低收費港幣 5 元 / 最高收費港幣 100 元) 0.004% on each transaction amount (Min charge HK\$5 / Max charge HK\$100)
實物股票處理及交收服務 Scrip Handling and Settlement-related Services	
交收指示 (即 SI) Settlement Instruction	每一提貨交收指示收取手續費港幣 50 元 HK\$50 per transaction (for delivery instructions)
投資者交收指示 (即 ISI) Investor Settlement Instruction	每一提貨交收指示收取手續費港幣 30 元 HK\$30 per transaction (for delivery instructions)
代客將交收指示轉遞予對手行家 Delivery of Settlement Instruction	每一交收指示收費港幣 150 元 HK\$150 of each settlement instruction
轉手紙政府印花稅 Transfer Stamp Duty Deed	每張轉手紙港幣 5 元 HK\$5 for each transfer deed
強制性補購股份費 Compulsory Share Buy-Back Fee	每次港幣 300 元 HK\$300 per transaction
代理人及企業行動 Nominee Services and Corporate Activities	
實物股份提取費 Stock Withdrawal Fee	每一買賣單位收取港幣 3.5 元及手續費 50 元 (不足一手亦當一手計) HK\$3.5 per board lot (odd lots also charge HK\$3.5 each) & HK\$50 handling charge
代收股息(股票) / 紅股 / 認股證 Dividend (Shares) / Bonus / Warrants Collection Fee	現金股息之 0.5% (最低收費港幣 25 元) + 中央結算收費 (現金股息總額 x 0.12%) 及過戶費每手港幣 1.5 元 0.5% on cash dividend amount (Min charge HK\$25) + CCASS charge (cash dividend amount x 0.12%) and scrip fee HK\$1.5 per lot 股權分發: 登記及過戶費每手 1.5 元及手續費港幣 25 元 Entitled bonus: script fee HK\$1.5 per lot + HK\$25 handling charge
牛熊證強制回收 / 到期 CBBC Mandatory Call / Expiry	有現金價值的牛熊證, 每手收取手續費港幣 0.7 元 + 中央結算收費 (每手港幣 0.8 元) Handling charge (HK\$0.7 per board lot) + CCASS charge (HK\$0.8 per board lot) for CBBC with cash value 無現金價值的牛熊證, 免收手續費 Nil handling charge for CBBC with no cash value
行使換股證 / 供股權 Exercise Warrant / Right Issue / Open Offer	供股價、過戶處費用、中央結算所費用及手續費港幣 50 元 HK\$50 for cost of exercise, scrip fee, ad valorem stamp duty, CCASS fee and handling charges

代收股息(基金和債券) Dividend (Fund and Bond)	現金股息之 0.5% (最低收費港幣 50 元) 0.5% on cash dividend amount (Min charge HK\$50)
行政託管費用(基金和債券) Custodian and Portfolio Fee (Fund and Bond)	0.1% - 0.25% (以基金或債券淨值計算) / 每年 0.1% - 0.25% (Based on portfolio value) / per year
在收購要約下提交股份費 Cash / Open Offer / Special / Conditional Offer	收購金額 0.25% (最低收費港幣 80 元) 0.25% on gross offer amount (Min charge HK\$80)
認購費(基金和債券) Subscription Fee (Fund and Bond)	認購金額 1%-5% 1% - 5% on subscription amount
申請發還利息、紅股及股權等 Claims of Entitlement	追討成本及每次每種股票手續費港幣 200 元 Cost of claim + HK\$200 per stock per claim
代客辦理過戶(實貨) Registration and Transfer Fee of Physical Stock in Client's Name	每一買賣單位收取港幣 2.5 元及手續費港幣 300 元 Scrip fee by registration HK\$2.5 per lot + HK\$300 handling charge

借貸及其他服務 Financing and Other Services

認購新股 Application of IPO	現金申請：手續費港幣 50 元 融資申請：手續費港幣 100 元 Non-financing: HK\$50 per application Financing: HK\$100 per application
股份合併/分拆 Consolidation / Subdivision	免費 Nil
配股 Placement	手續費港幣 100 元 Handling charges: HK\$100
現金帳戶過期利息 Cash Account Overdue Interest	最優惠利率 + 5% Prime rate (quoted by Bank of China) + 5%
保證金帳戶過期利息 Margin Account Overdue Interest	最優惠利率 + 9% Prime rate (quoted by Bank of China) + 9%
電匯費用 Telegraph Transfer (T/T)	每單交易收費港幣 150 元 + 實質銀行收費 HK\$150 + actual bank charge
終止支票付款費 Stop Payment Charge	每次港幣 200 元 HK\$200 per case
銀行本票收費 Bank Draft	每次港幣 50 元 + 實質銀行收費 HK\$50 + bank charge
退票 Returned Cheque	每一退票收費港幣 200 元 HK\$200 per each returned cheque

帳戶服務 Account Maintenance

證券結餘或帳戶證明書收費 Confirmation of Stock / Cash Balance	如果是由核數師要求查帳免收費用，其他每次港幣 100 元 Nil if the confirmation is issued from auditors, others HK\$100
取回成交單或月結單收費 Photocopy of Account Statement / Contract Note	取回當月月結單免費，一年內收港幣 100 元，超過一年則收取港幣 200 元；取回三個月內成交單免費，三個月以上收港幣 100 元，超過一年則收取港幣 200 元 For copy of monthly statement: free for the current month, HK\$100 for the previous 11 months, HK\$200 for the required month beyond a period of 12 months; For copy of contract note: free for the latest three months, HK\$100 for over three months, HK\$200 for over twelve months and thereafter

- 2005 年 12 月 19 日 起暫停 投資者賠償徵費 0.002% SFC Investor Compensation Levy 0.002%